

## CONDITIONAL ACCOUNT OPENING AGREEMENT - ENTITY

I....., .....  
*Full Name* *Capacity at Entity*

of

.....  
(name of “the Entity”) have been advised by NCB<sup>1</sup> that pursuant to their obligations under the United States of America’s Foreign Account Tax Compliance Act (FATCA) and the local government’s Inter-Governmental Agreement with the Government of United States of America, certain information and/or documentation are required of the Entity by NCB to open a new account and/or update our existing account(s) with them. The required information and/documentation detailed below has/have not been provided by us.

We hereby undertake to provide the outstanding information and/or documentation to NCB as soon as possible and in any event within 90 days of the date hereof. We understand that not providing the requested information and/or documentation immediately has resulted in NCB not being able to offer us a financial product involving a relationship extending beyond a 90 day period from this agreement (regardless of whether I have received an instrument with a later maturity date). I further understand and agree that our failure to provide the requested information and/or documentation will result in our account(s) (including that being opened on the date of this agreement, any opened prior to the date of this agreement and any opened subsequent to this agreement) being at risk of closure by NCB on or before ..... **[90 days from the date of this agreement]**. I fully understand that the authority to effect closure and the timing of the closure of our account(s) resides solely with NCB.

It is our understanding that in keeping with NCB’s process to close an account, prior to the closure date, the freezing of our accounts may occur and reductions in financial activities are likely to occur in the event that we do not provide the information and/or documentation required (see details below).

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<sup>1</sup> NCB refers to any one of the following entities: National Commercial Bank Jamaica Limited, NCB Capital Markets Limited, NCB Insurance Company Limited, West Indies Trust Company Limited, NCB (Cayman) Limited, NCB Capital Markets (Cayman) Limited, NCB Global Finance Limited.

**CURING INFORMATION &/OR DOCUMENTATION REQUIRED:**

US INDICIA	DOCUMENTATION & INFORMATION
<p>a. Classification of an Account Holder as a U.S. resident in the current customer files; [ ]</p> <p>b. Current U.S. mailing or residence address; [ ]</p> <p>c. Current telephone number for the entity in the U.S., but no telephone number for the entity outside the U.S. [ ];</p> <p>d. Current telephone number for the entity in the U.S. in addition to a telephone number for the entity outside the U.S. [ ];</p> <p>e. Standing instructions to pay amounts to U.S. address of or an account maintained in the U.S. [ ];</p> <p>f. Power of attorney or signatory authority granted to a person with a US address [ ];</p> <p>g. An ‘in care of’ or ‘hold mail’ address that is the sole address provided for the entity . [ ]</p>	<p>A. Completed FATCA Entity Certification form [<b>Mandatory</b>] [ ] <b>AND:</b></p> <p>B. <u>one other documentary evidence listed below</u> which establishes the entity’s non-U.S. status such as:</p> <p>1. Any official documentation issued by an authorized government body (for example, a government or agency thereof, or municipality) that includes the name of the Entity and either the address of its principal office in the jurisdiction (or U.S. Territory) in which the Entity was incorporated or organized, example - Certificate of Incorporation [ ], <i>state the document name</i> .....</p> <p>2. <b>For Cayman Islands ONLY</b></p> <p>(a) Copy of certificate of incorporation,</p> <p>(b) Memorandum of association,</p> <p>(c) Trust deed or certified copy of extracts from the trust deed.</p> <p>3. Any financial statement, third party credit report, bankruptcy filing, or U.S. Securities and Exchange Commission report. [ ], <i>state the document name</i>.....</p>

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Customer’s Name

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Customer’s Signature

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Date (DD/MM/YY)

Witnessed by:

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Employee Name

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Employee Signature & ID

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Date (DD/MM/YY)