

ENTITY STATUS CERTIFICATION FORM

1 Customer's Details

Full Legal Name of the Entity that is the Applicant

Account/Customer Number

Country of Incorporation/Organization

- Entity includes a corporation, trust, partnership, association or other organization.
- FATCA means the United States of America Foreign Account Tax Compliance Act. In accordance with the signed Inter-Governmental Agreements between the governments of Jamaica and the United States of America ("U.S."), the governments of the Cayman Islands and the U.S, and the governments of Trinidad & Tobago and the U.S, Jamaican/Cayman Islands/Trinidadian financial institutions must identify and report to their Competent Authorities accounts held (directly or indirectly) by a U.S. person.

2 FATCA Entity Classification

Entity Type: Corporation Partnership Formal Trust Estate Other _____

For tax reporting purposes, what is the FATCA classification of this entity?

Select only one of the following categories (A, B or C) and complete all other applicable information under that category.

A U.S. Person

Specified U.S. Person, Provide card with U.S. Taxpayer Identification Number (TIN). **If card not available complete Form W-9**

_____ Taxpayer Identification Number (TIN)

Not a Specified U.S. Person _____
Reason this entity is not a Specified U.S. person

OR

B Non-Financial Foreign Entity (NFFE)/Non-U.S. Entity

Active NFFE
 Passive NFFE. Complete section 3 below.

OR

C Foreign Financial Institution (FFI)/Non-U.S. FFI

Select the type of FFI below and provide a Global Intermediary Identification Number (GIIN) where indicated.

Exempt beneficial owner
 Deemed Compliant FFI. If registered, provide GIIN below.
 Jamaican/Cayman Islands/Trinidadian Financial Institution. Provide GIIN below.
 Other Partner Jurisdiction Financial Institution. Provide GIIN below.
 Participating FFI. Provide GIIN below.
 Non-Participating Financial Institution

_____ (GIIN)

Is the entity a tax resident of any other country (ies)?

No Yes – If yes, list

For Passive NFFE ONLY

3 Information about Owners/Controlling Persons

Select either A or B depending on the entity type and complete all other applicable information under this section.

A **For trusts and estate accounts:** Provide information on the next page about every individual who is a trustee/estate executor, beneficiary or settlor, or who otherwise controls the trust or estate.

OR

B **For corporations, partnerships or other types of Passive NFFE:** Does any individual directly or indirectly own or control 10% or more of the entity?

No – If no, complete section 4.
 Yes – If yes, provide information about each of these individuals on the next page. If you require more space, complete and sign another form

Instructions: This section must be completed if a Passive NFFE was selected in section 2. Other types of entities do not need to complete this section.

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3 Information about owners and other individuals who have control over a Passive NFFE

Instructions:

For Corporation, Partnership, or other type of Passive NFFE please select Owner or Controlling person category.

For Formal Trust and Estate please select Trustee/Estate Executor, Trust Beneficiary/Estate Beneficiary or Trust settlor.

Individual 1 - Identify individual's role with the entity:

Owner or Controlling person Trustee/Estate Executor Trust Beneficiary/Estate Beneficiary Trust settlor

First Name

Middle Name

Last Name

Address

City

Province/State

Country

Postal/Zip Code

Is the individual a U.S. citizen or resident?

No Yes – If yes, provide the U.S. Taxpayer Identification Number (TIN) card. If no TIN card provided, complete Form W-9

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U.S. TIN (e.g. SSN)

Is the individual a tax resident of any other country (ies)

No Yes – If yes, list _____

Individual 2 - Identify individual's role with the entity:

Owner or Controlling person Trustee/Estate Executor Trust Beneficiary/Estate Beneficiary Trust settlor

First Name

Middle Name

Last Name

Address

City

Province/State

Country

Postal/Zip Code

Is the individual a U.S. resident for tax purposes (which includes a U.S. citizen)?

No Yes – If yes, provide the U.S. Taxpayer Identification Number (TIN) card. If no TIN card provided, complete Form W-9.

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U.S. TIN (e.g. SSN)

Is the individual a tax resident of any other country (ies)

No Yes – If yes, list _____

Individual 3 - Identify individual's role with the entity:

Owner or Controlling person Trustee/Estate Executor Trust Beneficiary/Estate Beneficiary Trust settlor

First Name

Middle Name

Last Name

Address

City

Province/State

Country

Postal/Zip Code

Is the individual a U.S. resident for tax purposes (which includes a U.S. citizen)?

No Yes – If yes, provide the U.S. Taxpayer Identification Number (TIN) card. If no TIN card provided, complete Form W-9.

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U.S. TIN (e.g. SSN)

Is the individual a tax resident of any other country (ies)

No Yes – If yes, list _____

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